



KOG FOREX LIMITED 國之匯有限公司

Unit 3203, 32/F, Excel Centre, 483A Castle Peak Road, Lai Chi Kok, Kowloon, Hong Kong
香港九龍荔枝角青山道 483A 號卓匯中心 32 樓 3203 室
CE Number: BAB663
中央編號：BAB663

CORPORATE ACCOUNT APPLICATION FORM 公司交易帳戶申請表

THIS APPLICATION FORM is applicable to the Terms and Conditions of Business (“Terms”) in relation to the services provided by KOG Forex Limited. (“KOG”). By completing the Application Form, the Applicant (“you”, “I/We”, “Client”) understands and agrees to be bound by the Terms. You should therefore seek advice from your own legal, tax, financial or other professional advisers prior to filling this Application Form if you are in any doubts or have any questions.

本帳戶申請表構成國之匯有限公司. (「KOG」,「我方」,「本公司」) 商業條款及細則 (「條款」) 的一部分。申請人 (「您」,「本人/吾等」,「客戶」) 填寫本申請表, 表示您已經同意並接受本公司條款約束。在填寫本申請表前, 如有任何疑問, 請您諮詢專業顧問的意見。

Please ensure that you have read the following documents before proceed further:
請確保您在繼續進行申請前已經閱讀以下文件:

- Terms and Conditions of Business 商業條款及細則
- Risk Disclosure Statement 風險披露聲明
- Contract Specifications 合約細則
- Standing Authority for Account 帳戶常設授權
- Risk Disclosure of Locked Position 鎖倉風險聲明
- Foreign Account Tax Compliance Act (“FATCA”) and Common Reporting Standard (“CRS”) Policies 《海外賬戶稅收合規法案》(「FATCA」) 和《共同匯報標準條例》政策
- Fees Schedule 費用清單

These documents are not to be signed and sent back to KOG but should be retained for your reference.
上述文件無需簽署或交還予 KOG, 但應予以保留作參考。

Company Information 公司資訊

KOG collects your information pursuant to Securities and Futures Commission (“SFC”) regulations. Please complete the company Information in full. Any non-applicable questions should be marked “N/A” so that all blanks are completed. The form must be signed by all authorized individuals who enter into an agreement with KOG on behalf of the company. If additional space is required, please attach extra page to this Application Form. 我方根據香港證券及期貨事務監察委員會 (「證監會」) 的相關規定, 收集您的資訊作開戶用途。請填寫開戶申請表的所有相關部份, 在任何不適用處填寫「N/A」。本申請表必須由公司代表與 KOG 簽訂協議的授權人士簽署。如果申請表上的位置不足, 請另加附頁填寫。

Please submit the Application Form together with the following documents (with witness signed):
請提交以下文件（附見證人簽署）予 KOG：

For Hong Kong Registered Company
香港註冊公司

1. Duly signed Corporate Account Application Form
已簽署的公司帳戶申請表
2. Board Resolution (For account opening, appointment of authorized person and other operation instructions)
董事會議案（有關開戶、獲授權人士及其他操作指示）
3. Copy of Valid Business Registration Certificate
有效的商業登記證的副本
4. Copy of Certificate of Incorporation and subsequent Certificate of Change of Name (if applicable)
公司註冊證書及其後的公司更改名稱證書的副本（如適合）
5. Copy of Memorandum and Articles of Association
公司組織章程大綱及細則的副本
6. Copy of the latest Annual Return and any changes reported to Companies Registry thereafter
最近公司年報的副本及任何之後於公司註冊處登記的變更記錄
7. Copy of Address Proof within recent three months (P.O. Box is not acceptable) (if different from Companies Registry or Business Registration records)
三個月內有效的公司地址證明（郵政信箱恕不接受）（若不同於公司註冊處或商業登記記錄）
8. Copy of ID/Passport of Directors, the authorized individuals
公司董事、所有獲授權操作帳戶人士的身份證副本
9. Copy of Address Proof of Directors, the authorized individuals within recent three months (if available)
公司董事、所有獲授權操作帳戶人士的三個月內有效的地址證明（如有）
10. Copy of Bank Account Proof such as Bank Statement (if applicable)
銀行帳戶證明副本，例如銀行對帳單（如適合）
11. Copy of ID/Passport of Ultimate Beneficial Owner(s) (if applicable) and Address Proof within recent three months (if available)
最終權益擁有人人士的身份證副本（如適合）及三個月內有效的地址證明（如有）
12. Copy of Identity Documents and Professional Certificate of witness (if applicable)
見證人的身份證明文件及專業資格證明副本（如適用）

For Non-Hong Kong Registered Companies, please submit the above documents together with:
若非香港註冊公司，除上述文件外，請連同以下文件一併遞交：

13. Copy of Register of Directors and Officers
董事記錄名冊的副本
14. Copy of Register of Members/Shareholders
股東記錄名冊的副本
15. A Certificate of Incumbency or equivalent document issued by the company's registered agent in the place of incorporation, included but not limited to the company search report issued by the Company Registry in the place of incorporation which should be certified by a professional third party in the relevant jurisdiction and issued within the last 6 months.
公司的當地註冊代理人簽發的職權證明書（現任職位證明書）或等同文件，包括但不限於公司註冊地的註冊處發出的公司查冊報告的正本或經核證真實副本，文件必須由第三方專業人士核證，並在過去六個月內發出。

Account opening documents should be executed by the authorized persons.
開戶文件須由獲授權人士簽署。

The original copy of the documents should be produced to a KOG staff who will certify the copy to be a true copy by signing on it.
開戶所需文件的正本必需出示予一名 KOG 職員進行認證，並簽署確認該文件的副本為真確副本。

If the original documents are not certified by any staff of KOG:
如所需文件的正本並非由 KOG 職員進行認證：

All the required documents should be certified by an appropriate person and countersigned on this Application Form. Witness should provide a copy of valid ID Card/Passport together with his/her professional certificate for verification. The appropriate person can be a licensed/registered person with SFC, a Justice of the Peace, or a professional person such as a branch manager of a bank, certified public accountant, lawyer or notary public.

則必須由合適人士進行認證，並於帳戶申請表上簽署確認。見證人必須提供有效的身份證明文件（身份證或護照）以及專業資格證書副本以作核實。見證人可為證監會的持牌人或註冊人、太平紳士或專業人士如銀行分行經理、註冊會計師、律師或公證人。

Delivery Method
遞交申請方法

a) By post
郵寄

Please submit the required documents to the following address:
請將開戶所需文件郵寄到以下地址：

Customer Service Department
KOG Forex Limited
Unit 3203, 32/F, Excel Centre, 483A Castle Peak Road, Lai Chi Kok, Kowloon, Hong Kong
香港九龍荔枝角青山道483A號卓匯中心32樓3203室
國之匯有限公司
客戶服務部收

b) In person
親臨開戶

Please contact our Customer Service Representative at (852) 3519-9888 or cs@kogforex.com for an appointment. Our office hours: Monday to Friday 09:30-17:30 (except public holidays).

請通過電話+852 3519 9888或電郵cs@kogforex.com與我方的客戶服務代表預約會面。我方的辦公時間為周一至週五上午9時30分至下午5時30分（公眾假期除外）。

For Applicant who cannot provide sufficient documents or proof of his/her financial status, KOG reserves the rights to reject any application. 未能提供足夠文件或良好財務證明的申請人，本公司保留權利拒絕其開戶申請而毋須作任何解釋。

CORPORATE ACCOUNT INFORMATION 公司帳戶資料

Company Name:
公司名稱:

Type of Incorporation: Limited Company 有限公司 Partnership 合伙 Sole Proprietor 獨資
公司類別: Fund 基金 Trust 信託

Date of Incorporation: YYYY MM DD Place of Incorporation:
成立日期: 年 月 日 成立地方:

Company Registration No.: Business Certificate No.:
公司註冊號碼: 商業登記證號碼:

Legal Entity Identifiers (LEIs): Type of Business:
法律實體識別編碼: 業務性質:

Does your company currently file tax return outside of HK (e.g. U.S.)? No 否
公司現時有否在香港以外報稅(如美國)? Yes 是 (Please specify 請註明: _____)

Principal Business Address: Email Address(Required):
主要商業地址: 電子郵件(必須提供):
*Any information related to your account will be sent to your stated email address above, including but not limited to any margin call and/or any update in your trading account.
任何有關貴公司的帳戶資料將會送到以上閣下提供的電郵地址, 包括但不限任何追加保證金通知及/或任何交易帳戶的更新。*

Business Phone No.: Business Fax No.: Website:
商業電話: 公司傳真號碼: 網址:

Is your company a licensed / registered corporation? No 否
貴公司是否為持牌/註冊法團/機構? Yes 是 (Please specify 請註明: _____)

Ongoing Source(s) of Funds (Mean(s) of Funds Transfer): Bank Transfer 銀行匯款 Cheque 支票 Hong Kong 香港 Mainland China 中國
持續資金來源(轉移方式): Bank Draft 銀行本票 Other 其他: _____ 持續資金來源地: Other 其他: _____

Ongoing Source(s) of Wealth: Business Income 業務收入 Shareholder Funds 股東資金 Return on Investment 投資回報
持續財富來源: Dividend/Interest 股息/利息 Loans 貸款 Other 其他: _____

NAME AND ADDRESS OF ALL DIRECTORS 所有董事姓名及地址

Director Name (1): HKID/Passport No.: Place of Birth/Nationality:
董事姓名(1): 香港身份證/護照號碼: 出生地/國籍:

Residential Address:
住址:

Director Name (2): HKID/Passport No.: Place of Birth/Nationality:
董事姓名(2): 香港身份證/護照號碼: 出生地/國籍:

Residential Address:
住址:

Director Name (3): HKID/Passport No.: Place of Birth/Nationality:
董事姓名(3): 香港身份證/護照號碼: 出生地/國籍:

Residential Address:
住址:

LIST OF SHAREHOLDERS: (>10% SHAREHOLDINGS) 股東姓名: (>10%所持股權)

Name of Shareholder (1): Percentage of Shareholdings (%):
股東姓名(1): 所持股份比例(%):

HKID/Passport/Company Registration No.: Place of Birth/Incorporation:
香港身份證/護照/公司註冊號碼: 出生地/成立地方:

Residential/ Business Address:
住址/公司地址:

Name of Shareholder (2): Percentage of Shareholdings (%):
股東姓名(2): 所持股份比例(%):

HKID/Passport/Company Registration No.: Place of Birth/Incorporation:
香港身份證/護照/公司註冊號碼: 出生地/成立地方:

Residential/ Business Address:
住址/公司地址:

Please provide us the applicant's shareholding structure chart for review. (If applicable)
請向我們提供申請人之股權架構圖查看。(如適用)

AUTHORIZED PERSONS (CORPORATE ACCOUNT)**授權人士 (公司帳戶)**

By signing this section, the signatory of the Company certifies to KOG that the person(s) whose name(s) appear(s) below is/are authorized to:
本部分一經簽署，即貴公司簽署人向 KOG 證明貴公司已授權以下簽署人：

- Place orders with, and give oral, written or electronic instructions to KOG;
以口頭、書面或電子方式向 KOG 下達訂單和指令；
- Act on behalf of the Client for all purposes in connection with the account(s) established with KOG;
代表客戶處理 KOG 帳戶的所有相關事宜；
- Sign the following on behalf of the Client: notices, certificates and other documents required by or considered under all agreements in respect of the account(s); and
代表客戶簽署：通知、證明書以及按合約條款所規定或視為與帳戶有關的其他文件；以及
- Certify that the true signature of each authorized person is shown below, underneath his or her information, and that KOG may rely on this certificate until such time as it receives another certificate bearing a later date.
特此證明以下為每名獲授權人士的真實簽署。KOG 可依據本證明書，直至期後收到另外簽署的其他證明書為止。

AUTHORIZED PERSONS (CORPORATE ACCOUNT) 授權人士 (公司帳戶)

Name of Authorized Person (1): 授權人士姓名(1):	HKID/Passport No.: 香港身份證/護照號碼:	Telephone/ Mobile No.: 電話/手機:
Email: 電郵:	Education Level: 學歷:	Relationship with the Applicant: 與申請人之關係:
Residential Address: 住址:		
Occupation: 職業:	Job Title: 職銜:	Years Spent in Current Occupation: 現行職業的從業年數:
Should any information related to your account be sent to this Authorized Person's email address? 是否需要將任何有關貴公司的帳戶資料送到此授權人士提供的電郵地址? <input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否	Fill in the below ONLY IF this Authorized Person does not have certain type of authorization: 若此授權人士沒有某一類授權才需要填寫以下資訊: <input type="checkbox"/> No signing authority 沒有簽署授權 <input type="checkbox"/> Cannot give oral instructions 不能作口頭指示	Signature: 簽署:
Name of Authorized Person (2): 授權人士姓名(2):	HKID/Passport No.: 香港身份證/護照號碼:	Telephone/ Mobile No.: 電話/手機:
Email: 電郵:	Education Level: 學歷:	Relationship with the Applicant: 與申請人之關係:
Residential Address: 住址:		
Occupation: 職業:	Job Title: 職銜:	Years Spent in Current Occupation: 現行職業的從業年數:
Should any information related to your account be sent to this Authorized Person's email address? 是否需要將任何有關貴公司的帳戶資料送到此授權人士提供的電郵地址? <input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否	Fill in the below ONLY IF this Authorized Person does not have certain type of authorization: 若此授權人士沒有某一類授權才需要填寫以下資訊: <input type="checkbox"/> No signing authority 沒有簽署授權 <input type="checkbox"/> Cannot give oral instructions 不能作口頭指示	Signature: 簽署:
Name of Authorized Person (3): 授權人士姓名(3):	HKID/Passport No.: 香港身份證/護照號碼:	Telephone/ Mobile No.: 電話/手機:
Email: 電郵:	Education Level: 學歷:	Relationship with the Applicant: 與申請人之關係:
Residential Address: 住址:		
Occupation: 職業:	Job Title: 職銜:	Years Spent in Current Occupation: 現行職業的從業年數:
Should any information related to your account be sent to this Authorized Person's email address? 是否需要將任何有關貴公司的帳戶資料送到此授權人士提供的電郵地址? <input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否	Fill in the below ONLY IF this Authorized Person does not have certain type of authorization: 若此授權人士沒有某一類授權才需要填寫以下資訊: <input type="checkbox"/> No signing authority 沒有簽署授權 <input type="checkbox"/> Cannot give oral instructions 不能作口頭指示	Signature: 簽署:

SIGNING AUTHORITY / TRADING AND OTHER INSTRUCTION 簽署授權/交易及其他指示

- Each of the Authorized Signers specified is authorized to give verbal instructions and / or instructions through the Electronic Services (where applicable) in relation to the purchase or sale for the Account(s) or management of the Account(s) from time to time; and
除特別標明，以上每一名授權人士均有權為帳戶買賣或帳戶管理而不時發出口頭指示及/或透過電子服務(如適用)發出的指示；及
- Either one authorized person sign singly 一授權人士單獨簽署 Any two authorized persons sign 任何兩名授權人士簽署
 All authorized signers sign 所有授權人士簽署 Other (please specify) 其他 (請詳細說明): _____

PREFERRED LOGIN NAME 優先選取的登錄名稱

Please provide your 3 preferred login ID for 1) 2) 3)

accessing to KOG account(s):

請提供 3 個優先選取的登錄名稱以登入

KOG 帳戶：

*Please use only letters (a-z) and numbers, limited to 20 characters for setting up your login ID. There is no guarantee that you will be able to use the preferred login ID.**請使用字母 (a-z) 和數字及最多 20 個字符用於設置登錄名稱。我們並不保證您能選用您所建議的登錄名稱。***ACCOUNT MANAGEMENT (帳戶管理)**

Ultimate beneficial owner(s) of the Account is/are:

戶口最終權益擁有人士為:

 Applicant 申請人 Other 其他: _____

If the Applicant is not the ultimate beneficial owner of the Account, please complete Ultimate Beneficial Owner(s) Information below.

如申請人非戶口之最終受益人，請填寫以下的戶口最終受益人資料。

ULTIMATE BENEFICIAL OWNER(S)* INFORMATION 戶口最終受益人*資料

Name: 姓名:	
ID No./Passport No.: 身份證/護照號碼:	
Place of Birth and Nationality: 出生地及國籍:	
Residential Address: 住址:	
Telephone No.: 聯絡電話:	

The AMLO defines Beneficial Owner in relation to a corporation as:就法團而言，「打擊洗錢條例」將實益擁有人的定義界定為：**(i) an individual who –**符合以下說明的個人–**(a) owns or controls, directly or indirectly, including through a trust or bearer share holding, not less than 10% of the issued share capital of the corporation;**直接或間接地擁有或控制（包括透過信託或持票人股份持有）該法團已發行股本的不少於 10%；**(b) is, directly or indirectly, entitled to exercise or control the exercise of not less than 10% of the voting rights at general meetings of the corporation; or**直接或間接地有權行使在該法團的成員大會上的投票權的不少於 10%，或支配該比重的投票權的行使；或**(c) exercises ultimate control over the management of the corporation; or**行使對該法團的管理最終的控制權；或**(ii) if the corporation is acting on behalf of another person, means the other person.**如該法團是代表另一人行事是指該另一人。**Please refer to section 1(1) of Schedule 2 to the AMLO for the definition of Beneficial Owner in relation to a partnership and trust.**請參閱「打擊洗錢條例」附表 2 之第 1(1)部分關於有關合夥及信託實益擁有人的定義。***PAYMENT INSTRUCTION 收款指示***The below account will be used as the settlement bank account. If a cheque is issued by KOG, such cheque will be accorded to the account name below. (Remittance takes around 5 working days)**以下之銀行帳戶將被用作交收的銀行帳戶，若 KOG 發出支票，該支票將依據以下的帳戶名稱簽發。（匯款一般需時五個工作天）*

Account No.(1): 銀行戶口帳號(1):	Name of Principal Bank: 主要往來銀行名稱:
Bank Account Location (Country; City): 銀行帳號地點 (國家; 城市):	Bank Account Receiving Currency: 帳戶接收貨幣:
Bank SWIFT Code: 銀行國際代碼:	Bank Code & Branch Code: 銀行代號及分行代號:
Account Name: 銀行戶口名稱: <i>Name(s) provided should match with name(s) shown on bank statements. Unless otherwise instructed by Client, all funds payable to Client will be credited to the following bank account. 客戶在此提供的名稱須與銀行結單上的名稱相符。除客戶另行指示外，客戶的提款將會被轉入上述銀行帳戶。</i>	Bank Address: 銀行地址: <i>(Please state Province, City, Country and Branch for Mainland Bank Account 如為國內銀行帳戶，請一併提供省、市、縣及支行)</i>
Account No.(2): 銀行戶口帳號(2):	Name of Principal Bank: 主要往來銀行名稱:
Bank Account Location (Country; City): 銀行帳號地點 (國家; 城市):	Bank Account Receiving Currency: 帳戶接收貨幣:
Bank SWIFT Code: 銀行國際代碼:	Bank Code & Branch Code: 銀行代號及分行代號:
Account Name: 銀行戶口名稱: <i>Name(s) provided should match with name(s) shown on bank statements. Unless otherwise instructed by Client, all funds payable to Client will be credited to the following bank account. 客戶在此提供的名稱須與銀行結單上的名稱相符。除客戶另行指示外，客戶的提款將會被轉入上述銀行帳戶。</i>	Bank Address: 銀行地址: <i>(Please state Province, City, Country and Branch for Mainland Bank Account 如為國內銀行帳戶，請一併提供省、市、縣及支行)</i>

Should there be any discrepancy between the English and Chinese version, the English version shall prevail.

此中文譯本只供參考之用，如與英文有任何歧異，概以英文為準。

v202301

Initial 簡簽 _____

FINANCIAL SUMMARY 客戶財務資料

Authorized Share Capital 法定股本 _____ shares of _____ par value each _____ 股，每股面值 _____ 元之股份 (please specify currency 請指明貨幣)		Issued and paid up share capital 已發行及已繳足股本 _____ shares of _____ par value each _____ 股，每股面值 _____ 元之股份 (please specify currency 請指明貨幣)	
Profit after Tax - based on latest audited account(s) (in USD): 除稅後盈利 - 根據最近審計的帳目 (以美元計算):	<input type="checkbox"/> \$100,000 or less 或以下	<input type="checkbox"/> \$100,001 – 199,999	<input type="checkbox"/> \$200,000 – 499,999
	<input type="checkbox"/> \$500,000 – 1,000,000	<input type="checkbox"/> \$1,000,000 or more 或以上	
Net Assets - based on latest audited account(s) (Assets minus liabilities, in USD): 資產淨值- 根據最近審計的帳目 (總資產減去負債，以美元計算):	<input type="checkbox"/> \$100,000 or less 或以下	<input type="checkbox"/> \$100,001 – 199,999	<input type="checkbox"/> \$200,000 – 499,999
	<input type="checkbox"/> \$500,000 – 1,000,000	<input type="checkbox"/> \$1,000,000 or more 或以上	
Liquid Assets- based on latest audited account(s) (Assets that can be quickly converted to cash, in USD): 流動資產- 根據最近審計的帳目 (可迅速轉為現金的資產，以美元計算):	<input type="checkbox"/> \$100,000 or less 或以下	<input type="checkbox"/> \$100,001 – 199,999	<input type="checkbox"/> \$200,000 – 499,999
	<input type="checkbox"/> \$500,000 – 1,000,000	<input type="checkbox"/> \$1,000,000 or more 或以上	
Estimated Investment Amount for This Account (in USD): 在此戶口的估計投資金額 (以美元計算):	<input type="checkbox"/> \$100,000 or less 或以下	<input type="checkbox"/> \$100,001 – 199,999	<input type="checkbox"/> \$200,000 – 499,999
	<input type="checkbox"/> \$500,000 – 1,000,000	<input type="checkbox"/> \$1,000,000 or more 或以上	
The ratio of this investment comparing to your total financial investment is? 這項投資佔您的總金融投資比例是?		<input type="checkbox"/> < 20%	<input type="checkbox"/> 20% - 50%
		<input type="checkbox"/> > 50%	
Would a loss of this investment adversely affect your business operation? 這筆投資的損失會對您的業務運營產生不利影響嗎?		<input type="checkbox"/> Yes 會	<input type="checkbox"/> No 不會
Who is responsible for making investment decision in the Company? 公司是由誰負責決定投資決策		<input type="checkbox"/> General Staff 一般職員 <input type="checkbox"/> Company Owner 公司持有人 <input type="checkbox"/> Personal specialized for investment function 個人專業投資者 <input type="checkbox"/> External professional advisor 外部專業顧問 <input type="checkbox"/> Other 其他 _____	

INVESTMENT EXPERIENCE AND OBJECTIVES 投資經驗及投資目的

The following questions should be answered by the main designated personnel for making investment decisions
以下問題應由作出投資決策的主要指定人員回答

Your highest education level: 你的教育水平:	<input type="checkbox"/> University Degree or above 大學或以上 <input type="checkbox"/> Post-Secondary or Advanced Diploma 高中或高級文憑 <input type="checkbox"/> Secondary or below 初中或以下 <input type="checkbox"/> Other Professional qualification in finance 其他金融財務專業資格: _____
Do you understand the nature of Leveraged transactions? 您是否了解槓桿交易性質?	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否
Do you understand the risks involved in Leveraged transactions? 您是否了解槓桿交易所涉及的風險?	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否
Have you undergone training or attended courses by academic or financial institutions on derivative product and/ or possessed more than 1 year working experience related to derivative product? 您是否已經接受/參加由學術或金融機構所提供有關衍生產品的培訓/課程和/或擁有1年以上有關衍生產品的工作經驗?	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否
Investment Experience: 投資經驗: (No. of Transactions in the past 3 years 過去三年內的交易次數) Can choose multiple options 可選擇多項	<input type="checkbox"/> Stocks 股票 (<input type="checkbox"/> less than 5 少於5次 <input type="checkbox"/> 5 or more 5次或以上) <input type="checkbox"/> Futures 期貨 (<input type="checkbox"/> less than 5 少於5次 <input type="checkbox"/> 5 or more 5次或以上) <input type="checkbox"/> Options/ Derivative Products 期權/衍生產品## (<input type="checkbox"/> less than 5 少於5次 <input type="checkbox"/> 5 or more 5次或以上) <input type="checkbox"/> Leveraged foreign exchange 槓桿式外匯交易 (<input type="checkbox"/> less than 5 少於5次 <input type="checkbox"/> 5 or more 5次或以上) <input type="checkbox"/> Precious Metals 貴金屬 (<input type="checkbox"/> less than 5 少於5次 <input type="checkbox"/> 5 or more 5次或以上) ## KOG may perform an assessment on your knowledge of options if you have no experience or executed less than 5 transactions in trading options or any derivative product within the past three years or no related training/working experience. 若您沒有交易期權或衍生工具的經驗或在過去三年內執行少於5次交易或沒參加相關培訓或工作經驗，KOG 或會就您對期權的知識作出評估。
Investment Objectives: 投資目的:	<input type="checkbox"/> Short Term Profit 短線收益 <input type="checkbox"/> Long Term Growth 長線增長 <input type="checkbox"/> Hedging 對沖 <input type="checkbox"/> Speculation 投機 <input type="checkbox"/> Others 其他: _____
Investment Strategy of this Account: 此帳戶的投資策略:	<input type="checkbox"/> Aggressive 進取型 ¹ <input type="checkbox"/> Moderate 穩健型 ² <input type="checkbox"/> Conservative 保守型 ³ ¹ Aggressive means Client can accept and tolerate higher fluctuation in capital value and usually invest substantial funds on high risk financial products. 進取型客戶能承受資本價值的大幅度波動，並通常投資於高風險的金融產品。 ² Moderate means Client can accept and tolerate certain fluctuation in capital value and are looking for higher investment return in a long-term basis. 穩健型客戶能承受一定程度的資本價值波動，通常著眼於長線的投資收益。 ³ Conservative means Client can only tolerate slight fluctuation in capital value although it is not guaranteed. 保守型客戶只能承受輕微的資本價值波動，儘管這些資本是非保本資本。 Leveraged Foreign Exchange trading is a high risk investment. KOG does NOT recommend you to open this trading account if you have a conservative risk appetite. 槓桿外匯交易屬於高風險投資，如果您是一個保守型的投資者，我方不建議您申請此交易戶口。

OTHER INFORMATION 其他資訊

Do you have a good understanding of the products/ services and the terms and conditions before requesting to open an account with KOG? Yes 是 No 否
 您是否在充份了解產品/服務及條款後主動要求開設帳戶?

Referred by: 介紹人: Relationship with the referrer e.g. relatives (please specify), friend, etc.: 您與介紹人的關係，如親戚（請註明）、朋友等:

How do you know KOG? 如何得知我方? Friends 朋友介紹 KOG Client Referral 客戶轉介
 Related Company 關聯公司介紹 Other 其他: _____

GENERAL DISCLOSURE 一般披露

Is any director, substantial shareholder, ultimate beneficiary owner(s) or authorized person of the applicant (the "Applicant Related Person"): 申請人的任何董事、主要股東、最終收益所有者或獲授權人（"申請人相關人士"）是否:

• a senior officer / director of any (listed) company whose shares are traded on any exchange or market? Yes 是 No 否
 任何其股份在交易所或市場買賣的(上市)公司的高級人員或董事?

If yes, please specify the company name and stock code:
 如"是"，請說明公司名稱及上市編號: _____

• a person licensed by or registered with the Hong Kong Securities and Futures Commission? Yes 是 No 否
 香港證券及期貨事務監察委員會持牌或註冊人士?

If yes, please specify his/her CE No.:
 如"是"，請說明他/她的中央編號: _____

• an employee of a financial institutional which is regulated under Securities and Futures Commission and/or Hong Kong Monetary Authority? Yes 是 No 否
 受香港證券及期貨事務監察委員會及/或香港金融管理局規管的金融機構的僱員?

If yes, please specify name of the related person and relationship:
 如"是"，請提供金融機構的名稱: _____

• a relative of any director, employee or representative of any member of KOG? Yes 是 No 否
 KOG 的董事、僱員或代表的親屬?

If yes, please specify name of the related person and relationship:
 如"是"，請說明相關人士的姓名及關係: _____

• Do you have any pending litigation, disputes or other unresolved matters with other financial brokers? Yes 是 No 否
 您是否有任何尚未解決的訴訟、糾紛或與其他金融經紀商之間尚待解決的問題?

If yes, please provide detail:
 如"是"，請提供詳細資料: _____

• Are you or have you been within the past three years a member of any stock or futures exchange or licensed as a securities dealer, investment adviser, futures broker or adviser? Yes 是 No 否
 您在過去 3 年內，是否曾經作為任何股票交易所或期貨交易所的成員，或作為持牌證券買賣商、投資顧問、期貨經紀商或顧問?

If yes, please provide detail:
 如"是"，請提供詳細資料: _____

• Have you ever declared bankrupt or served with a bankruptcy petition? Yes 是 No 否
 您是否曾經宣告破產或提供破產呈請?

If yes, please provide detail:
 如"是"，請提供詳細資料: _____

Is/Are (i) the Applicant Related Person; and/or (ii) spouse, partner, child(ren) and/or parent(s) of the Applicant Related Person; and/or (iii) spouse(s) or partner(s) of the child(ren) of the Applicant Related Person; and/or (iv) close associate(s)^ of the Applicant Related Person:

(i) 申請人相關人士；及/或 (ii) 申請人相關人士之配偶、伴侶、子女及/或父母；及/或 (iii) 申請人相關人士子女的配偶或伴侶；及/或 (iv) 與申請人相關人士關係密切的人^是否:

• entrusted with prominent public functions (e.g. Head of State or of government, senior politicians/important political officials, senior government officials, senior judicial officials, senior military officials, senior executives of state owned enterprises or religious leaders)? Yes 是 No 否
 被委任為重要公職（例如：國家或政府元首、重要政客、高級政府官員、高級司法人員、高級軍官、國有企業高級行政人員或宗教領袖）?

If yes, please specify:
 如"是"，請說明: _____

[^] a person is a "close associate" of an individual if the person is
 如某人符合以下說明，該人即屬與某名個人（首述個人）關係密切的人：
 (a) an individual who has close business relations with the first-mentioned individual, including an individual who is a beneficial owner of a legal person or trust of which the first-mentioned individual is also a beneficial owner; or
 該人是與首述個人有密切業務關係的個人（在首述個人屬某法人或信託的實益擁有人的情況下，包括同樣屬該法人或信託的實益擁有人的個人）；或
 (b) an individual who is the beneficial owner of a legal person or trust that is set up for the benefit of the first-mentioned individual.
 該人是屬某法人或信託的實益擁有人的個人，而該法人或信託是為首述個人的利益而成立的。

ACKNOWLEDGEMENT AND DECLARATION

確認及聲明

I/We have read and fully understood the content of this Application Form, the Terms and Conditions of Business (the "Terms") (including its Schedules), Risk Disclosure Statement, Standing Authority for Account, Risk Disclosure of Locked Position and Contract Specifications and agree to be bound by them.

本人/吾等已經閱讀並完全明白本開戶申請表、商業條款及細則（「條款」）（及其附表）、風險披露聲明、客戶常設授權、鎖倉風險聲明及合約細則，並同意受該等文件約束。

Acknowledgement of Service

服務確認

I/We acknowledge that the account is for the purchase or sale of leveraged foreign exchange.

本人/吾等確認本帳戶是作為槓桿式外匯交易用途。

Accuracy of information

資訊準確性

I/We confirm that the warranties, representations and undertakings set out in the Terms and any information disclosed by me/us are true, complete and accurate. I/We will promptly notify KOG in writing if any information or representation materially changes or ceases to be true and accurate. KOG is entitled to rely fully on such information and representations for all purposes, unless it receives notice in writing of any change. I/We authorize KOG at any time to contact anyone, including my/ our banks, brokers or any credit agency, for the purpose of verifying the information provided on this Application.

本人/吾等確認條款中的保證、陳述和承諾，以及本人/吾等披露的任何信息均是真實、完整和準確。若任何信息或陳述有所變更或是失去其真實性及準確性，本人/吾等將立刻書面通知 KOG。除非 KOG 接到書面更改通知，否則 KOG 有權為所有目的，完全依賴這些資料及聲明。本人/吾等授權 KOG 隨時聯絡任何人，包括客戶之銀行、經紀或任何信貸調查機構，以求證實此申請表所載之內容。

Fees

費用

I/We authorize KOG to deduct fees, commissions, costs and charges in relation to any trade executed on my/our behalf under the Terms and in accordance with the Fee Schedule currently applicable and provided to me/us.

本人/吾等授權 KOG 按照條款和本人/吾等目前適用的費用清單，扣除任何代表本人/吾等執行交易的相關費用、佣金、成本和收費。

Client money

客戶資金

I/We have read and understood the relevant provisions of the Terms with respect to client money and I/we acknowledge that KOG will hold any money I/we transfer to it as collateral for any obligations I/we owe to KOG or a third party except that any sums that are not needed to cover my/our present or future obligations to KOG or a third party will be treated as client money.

本人/吾等已經閱讀並理解有關客戶資金的相關條款，本人/吾等並且確認，KOG 將持有本人/吾等轉入其帳戶作為本人/吾等對其或第三方的任何債務抵押，而任何無需用作本人/吾等現在或將來對 KOG 或第三方債務抵押的資金，才會被視為客戶資金。

Payment of interest

利息支付

I/We have read and understood the relevant provisions of the Terms with respect to payment of interest on money held on my/our behalf and I/we acknowledge that I/we shall not be entitled to receive any interest on such balances.

本人/吾等已經閱讀並理解關於以本人/吾等名義持有的資金所產生的利息支付款項相關規定，而本人/吾等謹此確認，本人/吾等將不享有收取任何該等餘額利息的權利。

Risk Disclosure Statement

風險披露聲明

I/We confirm that KOG has provided the Risk Disclosure Statement to me/us in a language of my choice (English or Chinese) and has invited me/us to read the Risk Disclosure Statement carefully, ask questions and take independent advice if I/we wish.

本人/吾等確認 KOG 已按照本人/吾等所選擇的語言（英文或中文）提供風險披露聲明，並已經邀請本人/吾等仔細閱讀該風險披露聲明、提出問題及在有需要時尋求獨立意見。

Standing Authority for Account

帳戶常設授權

I/We confirm that I/we have read carefully, understood and agreed the contents contained in Standing Authority for Account.

本人/吾等確認本人/吾等已小心閱讀、明白並同意帳戶常設授權的所有內容。

Risk Disclosure of Locked Position

鎖倉風險聲明

I/We confirm that I/we have read carefully, understood and agreed the contents contained in Risk Disclosure of Locked Position.

本人/吾等確認本人/吾等已小心閱讀、明白並同意鎖倉風險聲明的所有內容。

Explanation of Risk of Derivative Products

衍生產品風險說明

I/We confirm that the staff of KOG had explained to me in plain languages the derivative products features and risk disclosure.

本人/吾等確認 KOG 員工已用淺白語言向本人解釋相關衍生產品的特點及風險披露。

Prevention of money laundering and terrorist financing

打擊洗錢及恐怖分子資金籌集

I/We hereby confirm that none of the funds deposited to my/our trading account in KOG were derived from any crime, illegal proceeds, unlawful activities or any property (ies) owned or related to terrorist(s) and terrorism association(s).

本人/吾等確認本人/吾等存入至 KOG 的資金並非來自任犯罪行為、非法得益、違法活動或任何由恐怖分子或恐怖組織持有或與其相關的資產。

Data protection and disclosure of information

資料保護及資訊披露

I/We have read and understood the provisions relating to data protection and disclosure of information and I/we hereby consent to personal data relating to me/us being processed and/or transmitted or transferred.

本人/吾等已經閱讀並理解資料保護及信息披露的規定。本人/吾等謹此同意，有關本人/吾等的個人信息將會被合法處理以及/或傳送或轉移。

Foreign Account Tax Compliance Act ("FATCA") Status

《海外帳戶稅務合規法案》身份狀況

I/We hereby confirm that I/we (including the beneficial owner(s)) am/are **NOT** a citizen or resident or permanent resident card holder of US and I/we am/are **NOT** acting for or on behalf of any "US person" for US federal income tax purpose. Where required by US governmental authorities or regulatory bodies, I/we hereby agree to authorize KOG to disclose my/our information to establish my/our tax obligations in US and withhold from certain amounts from the trading account according to applicable laws, regulations and codes.

本人/吾等確認本人/吾等(包括實益擁有人)並非美國公民或居民或永久居民身份證持有人，並且本人/吾等沒有代表任何美國所得稅徵稅範圍內的“美國人士”行事。如因美國政府機關或監管機構要求，本人/吾等同意授權 KOG 披露本人/吾等的個人資料，以確立本人/吾等在美國的納稅義務，並可按適用的法律、法規和守則在本人/吾等的帳戶中預扣相關的稅務款項。

Use of Personal Data in Direct Marketing

使用個人資料作直接促銷

I/We expressly consents that KOG may at any time and from time to time send by telephone, email or other electronic means any messages relating to services or products which, in the opinion of KOG, I/we may be interested. Notwithstanding the foregoing, I/we may at any time request not to receive such messages from KOG by written notice to KOG at the address stated at the front page of this Account Application or such other address as KOG may subsequently notify from time to time.

本人/吾等明確同意 KOG 可隨時及不時透過電話、電子郵件或其他電子途徑傳送予本人/吾等 KOG 認為其可能有興趣之服務或產品訊息。儘管以上所述，但如本人/吾等不欲收取該等訊息，可以書面寄至本開戶申請表首頁所述之地址或 KOG 日後所公佈之其他地址。

Security Information

安全信息

I/We undertake that any Security Information issued by KOG will only be used by me/us and/or Authorized Users and will not be disclosed to other third parties. I/We also understand that KOG will not ask me/us and/or Authorized Users to provide my account login password for verification purpose.

本人/吾等承諾 KOG 所發布的任何安全信息僅供本人/吾等以及/或已授權用戶使用，並不得將其披露予其他第三方。KOG 並不會要求本人/吾等以及/或已授權用戶拿取帳戶登入密碼作核証用途。

Provision of information

資訊提供

I/ We have regular access to the internet and consent to KOG providing me/us with information including, without limitation, information about amendments to its Terms, Order Execution Policy and information about the nature and risks of investments by posting such information on its website at www.kogforex.com, its trading platform or such other website as may from time to time be notified to me/us.

本人/吾等定期使用互聯網，並同意 KOG 向本人/吾等提供信息，包括但不限於其條款修正、訂單執行政策以及有關投資性質和風險的信息。KOG 會將這些信息上載至其網站 www.kogforex.com，其交易平台或不時通知本人/吾等的其他網站。

Electronic Statement

電子結單

I/We declare and acknowledge that (if this Account Application is approved by KOG):

本人/吾等聲明及確認（若本開戶申請表獲 KOG 所核准）：

- i. I/We hereby agree to receive relevant contract notes, daily and monthly statements of account, confirmations and the like via electronic mail from KOG or review via the trading platform, and understand that no printed copy will be provided; and 本人/吾等同意接受以電子郵件形式收取由 KOG 發出的相關之成交單據、帳戶日結單及月結單、確認函及同類文件或透過其交易平台檢閱，並明白及確認 KOG 不會以郵遞方式發送；及
- ii. KOG shall not be responsible for any delay or failure in the transmission, receipt of information due to either a breakdown or failure of transmission of communication facilities, or any unreliable medium of communication or to any uncontrollable or unanticipated cause or other causes.

KOG 將不會對因通訊設施發生故障或傳送失靈、或任何不可靠的通訊媒介、或非我方控制範圍或預測的任何其他原因所造成傳送或收取信息的延誤負責。

Instruction through electronic means

電子方式指示

I/We confirm that any communications / instructions that are sent from me/us through my/our registered email address or the trading platform to KOG will be deemed to have the same value and legal implication as a written instruction.

本人/吾等確認任何由本人/吾等的登記電郵地址或透過交易平台所發送至 KOG 的通訊將被視為等同於書面指示，並擁有相等的價值及法律效力。

Other Authorizations

其他授權

I/We:

本人/吾等：

1. Information Sharing

資料互通

authorize KOG to share my/our information to its holding company or affiliates for account opening.

授權 KOG 將本人/吾等的資料予 KOG 的控股公司或聯屬機構作為其他帳戶開戶用途。

Yes 是

No 否

2. Open and Maintain Sub-Accounts

開設及維持子帳戶

authorize KOG from time to time to open and maintain one or more sub-accounts within KOG without re-submitting the account application.

All account opening information and authorized signature(s) in the sub-accounts are exactly the same as the main account. I/We understand and agree that the main account and all the sub-accounts are operated independently and that each account is maintained at its minimum margin requirement.

授權 KOG 將本人/吾等於 KOG 不時開設及維持一個或多個「子帳戶」而不需重新提供開戶申請。子帳戶內的所有開戶資料及授權簽署均與主帳戶完全一致。本人/吾等明白及同意主帳戶及子帳戶均獨立運作，以及每個戶口均要維持其保證金的最低要求。

Yes 是

No 否

APPLICANT(S) SIGNATURE 申請人簽署	
Applicant (1) Signature: 申請人(1) 簽署:	Applicant (2) Signature: 申請人(2) 簽署:
Name: 姓名:	Name: 姓名:
ID/ Passport No.: 身份證/護照號碼:	ID/ Passport No.: 身份證/護照號碼:
Date: 日期:	Date: 日期:
Applicant (3) Signature: 申請人(3) 簽署:	Applicant (4) Signature: 申請人(4) 簽署:
Name: 姓名:	Name: 姓名:
ID/ Passport No.: 身份證/護照號碼:	ID/ Passport No.: 身份證/護照號碼:
Date: 日期:	Date: 日期:
The Company Chop: 蓋上公司之印章:	
<p><i>Any of the authorized signers, by signing this application according to the signing authority, confirm that the Company is permitted to deal in FX with KOG. If you are unsure whether the Company is permitted to do so, you should seek legal advice.</i></p> <p>本申請表任何授權人士根據授權指示一經簽署，即確認貴公司獲准與 KOG 進行槓桿式外匯交易。如您不確定公司是否獲准進行上述交易，請事先尋求法律意見。</p>	

CERTIFICATION 核證	DECLARATION OF LICENSED PERSON 持牌代表聲明
<p>I am a</p> <p><input type="checkbox"/> staff in KOG (KOG 的職員)</p> <p><input type="checkbox"/> licensed or registered person with SFC (證監會的持牌人或註冊人)</p> <p><input type="checkbox"/> Justice of the Peace (太平紳士)</p> <p><input type="checkbox"/> branch manager of a licensed bank (持牌銀行分行經理)</p> <p><input type="checkbox"/> practicing certified public accountant (執業會計師)</p> <p><input type="checkbox"/> practicing lawyer (執業律師)</p> <p><input type="checkbox"/> notary public (公證人)</p> <p>I hereby certify that this Account Application Form is filled by the Applicant/an Authorized Person named in the information above and who has shown the original copies of all required documents to me for verification.</p> <p>謹此確認：本開戶申請乃由本開戶申請所指定的申請人/授權人士於本人面前完成，其公司及所需的個人身份驗證已根據其向本人出示的所有所需開戶文件的正本核實。</p>	<p>The undersigned Licensed Person confirms that the Risk Disclosure Statement has been provided in a language of the Applicant's choice (English or Chinese) and the Applicant has been invited to read the Risk Disclosure Statement carefully, ask questions and take independent advice if the Client wishes.</p> <p>以下持牌人確認，已經按照申請人選擇的語言（英文或中文）提供風險披露聲明，以及邀請申請人仔細閱讀該風險披露聲明、提出問題及在有需要時尋求獨立意見。</p>
Signature of Witness: 見證人簽署:	Signature of Licensed Person: 持牌人簽署:
Name of Witness: 見證人姓名:	Name of Licensed Person: 持牌人姓名:
ID/ Passport No.: 身份證/護照號碼:	CE No. of Licensed Person: 持牌人中央編號:
Date: 日期:	Date: 日期:

EXTRACT OF BOARD RESOLUTION 董事會決議摘錄

I/We hereby certify that at a meeting of the Board of Directors of _____ (the "Company") held on _____ at which a quorum was present.

本人/吾等證明於_____之日召開_____（「公司」）公司董事會，且已符合法定出席人數。

PRESENT 出席者

Name and Signature of director (1):
董事姓名及簽署 (1):

Name and Signature of director (2):
董事姓名及簽署 (2):

Name and Signature of director (3):
董事姓名及簽署 (3):

Name and Signature of director (4):
董事姓名及簽署 (4):

_____ took the chair of the Meeting.

_____ 為是次會議的主席。

IT WAS RESOLVED 會議通過

- To establish and maintain one or more accounts ("Account(s)") with KOG Forex Limited ("KOG") for the purposes of dealing in Leveraged Foreign Exchange contracts. 在國之匯有限公司（"KOG"）開立並維持一個或以上的交易帳戶進行槓桿式外匯合約交易。
- To execute, deliver and perform all agreements in respect of the account(s) and any other documents to be delivered in connection with such agreements and account(s). 執行、交付並履行所有帳戶相關協議以及任何其他與該等協議和帳戶相關的交付文件。
- To take all action necessary to give full effect to this resolution. 採取所有必要的行動令本決議全面生效。
- That KOG be informed from time to time by notice in writing of any change in the following list of Authorized Persons and that KOG be entitled to reply upon such notice until receipt of such further notice. 當公司變更任何下列的授權人士時，定當以書面通知 KOG；KOG 將按其書面通知內容辦理，直至另獲新通知為止。
- To authorize that the following individuals as "Authorized Persons" to act on behalf of the Company in connection with the account(s). 授權以下稱作"授權人士"的人士代表公司處理帳戶相關事宜。

Name (1):
姓名 (1):

Title:
職銜:

ID No./Passport No.:
身份證/護照號碼:

Telephone No.:
聯絡電話:

Name (2):
姓名 (2):

Title:
職銜:

ID No./Passport No.:
身份證/護照號碼:

Telephone No.:
聯絡電話:

Name (3):
姓名 (3):

Title:
職銜:

ID No./Passport No.:
身份證/護照號碼:

Telephone No.:
聯絡電話:

Name (4):
姓名 (4):

Title:
職銜:

ID No./Passport No.:
身份證/護照號碼:

Telephone No.:
聯絡電話:

FURTHER GUARANTEES 進一步保證

I/We further represent and guarantee to KOG that:
本人/吾等並向 KOG 聲明及保證：

The above resolutions and the dealing in contracts for Leveraged Foreign Exchange (or other transactions to be undertaken by KOG on the Company's behalf) are authorized by the Company's governing documents and that no limitation has been imposed on this authority.
上述決議及進行槓桿式外匯合約交易（或其他 KOG 代表公司進行的交易），均獲公司的管理文件授權進行且該授權不受限制。

I/We are authorized to make these resolutions on behalf of the Company.
本人/吾等獲授權代表公司制定本決議。

END OF MEETING 會議結束

There being no other business, the Chairman declared the meeting closed.
由於再無其他議案，主席宣佈散會。

SIGNATURE 簽署

Signature of Chairman:
主席簽署:

Corporate Chop:
公司印章:

Date:
日期:

CRS Entity Self-Certification Form (For Corporate Client Only)
共同申報準則機構自我聲明書 (只適用於公司客戶)

TO/ 致: KOG Forex Limited 國之匯有限公司
Unit 3203, 32/F, Excel Centre, 483A Castle Peak Road, Lai Chi Kok, Kowloon, Hong Kong 香港九龍荔枝角青山道483A號卓匯中心32樓3203室

Corporate Account Information 公司帳戶資料

Full Name of Corporate Account (as in Company Registration Document):

公司帳戶名稱 (須與公司註冊文件相關):

Company Registration No.:

公司註冊號碼:

Tax Identification No.:

納稅人識別號碼:

Business Address:

商業地址:

CRS Self-Certification 共同申報準則自我聲明

This section allows you to declare your tax residence under the Organization for Economic Co-operation and Development ("OECD") Common Reporting Standard ("CRS"). 本部份允許您根據經濟合作與發展組織 ("OECD") 共同申報準則 ("CRS") 申報貴公司的納稅居住地。

Please Select One Only 請選擇一項:

- A Financial Institution – Investment Entity 金融機構 - 投資公司
(Except an investment entity in a non-participating jurisdiction and managed by another financial institution 位處不參予的司法管轄區並由另一家金融機構管理的投資公司除外)
- B Financial Institution – Custodial Institution, Depository Institution or Specified Insurance Company 金融機構 - 託管機構、存款機構或指明保險公司
- C Active NFE (Active Non-Financial Entity) – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation. 有實質業務活動的非金融實體 - 一家其股票經常於一個認可證券市場買賣的公司、或一家屬於該公司相關實體的公司。
Please provide the name of the established securities market on which the corporation is regulatory trade: 請提供該證券市場的名稱:
- _____
- If you are a Related Entity of a regulatory traded corporation, please provide the name of the regularly traded corporation that the entity is a Related Entity of: 如屬於該公司相關實體的公司, 請提供該公司的名稱:
- _____
- D Active NFE – a Government Entity, International Organization or Central Bank 有實質業務活動的非金融實體 - 政府實體、國際組織或中央銀行
- E Active NFE – other than C to D (for example a start-up NFE or a non-profit NFE) 有實質業務活動的非金融實體 - 第 C 至 D 項以外的其他機構 (例如新創立的非金融實體或非牟利的非金融實體)
- F Passive NFE 被動的非金融實體
Please indicate all the name(s) of all Controlling Person(s) of the corporation: 請提供公司所有控權人士的名稱:
- _____

Is your company a tax resident in any jurisdiction(s)? Please select and fill in the table below: 貴公司是否擁有任何司法管轄區的稅務居民身份? 請選擇及填寫下表。

Country 國家	Please select multiple options if applicable 可選多於一項 (如適用)	Tax Identification Number 納稅人識別號碼
Hong Kong 香港	<input type="checkbox"/>	
Mainland China 中國大陸	<input type="checkbox"/>	
Other 其他: _____	<input type="checkbox"/>	

If a TIN is unavailable, please indicate the appropriate reason. 若無法提供稅務識別號碼, 請說明原因。

Note: KOG is unable to provide advice about your company's tax residency. If you have any questions, please consult your company's tax adviser or domestic tax authority.

註: KOG 未能提供有關貴公司納稅居住地的意見。如有任何疑問, 請與貴公司的稅務顧問或當地稅務機構聯絡。

Declaration by Client 客戶聲明

To: KOG Forex Limited ("KOG") 致: 國之匯有限公司 ("KOG")

I/We understand that the information supplied by me/us is covered by the Client Agreement governing the relationship with KOG setting out how KOG may use and share the information supplied by me/us. 本人/吾等明白 KOG 可根據客戶協議使用本人/吾等提供的資料。

I/We acknowledge that the information contained in this form and information regarding the Customer and any Reporting Account(s) may be provided to the tax authorities of the country/ jurisdiction in which this account is maintained and exchanged with tax authorities of another country/jurisdictions in which the Customer may be tax resident pursuant to intergovernmental agreements to exchange financial account information. 本人/吾等確認向開設本帳戶的國家/司法管轄區的稅務機關匯報此表上的資料和有關客戶和任何要被匯報的帳戶資料, 以及與客戶可能為稅務居民的國家/司法管轄區, 而該國家/司法管轄區已簽訂交換財務帳戶資料協議。

I/We certify that I am/we are authorized to execute and deliver this agreement on behalf of the company of the account to which this form relates. 本人/吾等證明本人/吾等已獲正式授權代表此表帳戶公司簽立及交付本協議。

I/We declare that all statements made in this declaration are, to the best of my knowledge and belief, true, correct and complete. 本人/吾等聲明, 以本人/吾等所知, 在此表上所提供的一切資料均屬真實、正確及完整。

I/We undertake to advise KOG within 30 days of any change in circumstances which affects the tax residency status of the individual identified in this form or causes the information contained herein to become incorrect and incomplete, and to provide KOG with a suitably updated CRS Entity Self-Certification Form within up to 30 days of such change in circumstances. 本人/吾等承諾, 在有任何有關更改納稅居住地資料或任何可導致此表所提供的資料失實的情況變動發生後 30 天內通知 KOG, 並提供最新的共同申報準則機構自我聲明書。

Corporate Applicant/ Client Signature(s) 公司申請人/客戶簽署

Signature of Applicant/ Client (1):

申請人/客戶簽署 (1):

Signature of Applicant/ Client (2):

申請人/客戶簽署 (2):

Name of Applicant/ Client (1):

申請人/客戶姓名 (1):

Name of Applicant/ Client (2):

申請人/客戶姓名 (2):

Date

日期:

Date

日期:

Should there be any discrepancy between the English and Chinese version, the English version shall prevail.

此中文譯本只供參考之用, 如與英文有任何歧異, 概以英文為準。

v202301

Initial 簡簽 _____