



KOG FOREX LIMITED

國之匯有限公司

Unit 3203, 32/F, Excel Centre, 483A Castle Peak Road, Lai Chi Kok, Kowloon, Hong Kong.

CE Number: BAB663

香港九龍荔枝角青山道 483A 號卓匯中心 32 樓 3203 室

中央編號：BAB663

PROFESSIONAL INVESTOR DECLARATION

專業投資者聲明

Applicant Name 申請人名稱： _____

If you are a Professional Investor ("PI") in accordance with paragraph 15.2 of the Code of Conduct for Persons Licensed by or Registered with the Securities and Futures Commission (the "Code"), please tick the box as appropriate.

若您是按《證券及期貨事務監察委員會持牌人或註冊人操守準則》（《操守準則》）第 15.2 段的規定被歸類為「專業投資者」，請在適當方格中填上剔號。

- Institutional PI**
機構專業投資者
You are required to submit relevant supporting documents.
您必須提交相關證明文件。
- Corporate PI (satisfies the assessment requirements for Corporate Professional Investors set in out paragraph 15.3A of the Code)**
法團專業投資者 (符合於《操守準則》第 15.3A 段所載的法團專業投資者的評估規定)
You are required to complete the "Corporation Professional Investor Assessment", relevant supporting documents and acknowledge that you are agreed to be treated as Professional Investor.
您必須完成及提交“法團專業投資者評估”、相關證明文件及確認您同意被視為專業投資者。
- Corporate PI (does NOT satisfies the assessment requirements for Corporate Professional Investors set in out paragraph 15.3A of the Code)**
法團專業投資者 (不符合於《操守準則》第 15.3A 段所載的法團專業投資者的評估規定)
You are required to complete and submit the "Professional Investor Assessment under Securities and Futures (Professional Investor) rules (Cap571D of the laws of Hong Kong)", relevant supporting documents and acknowledge you are agreed to be treated as Professional Investor.
您必須完成“根據《證券及期貨（專業投資者）規則》（香港法例第 571D 章）定義之專業投資者評估”、相關證明文件及確認您同意被視為專業投資者。
- Individual PI**
個人專業投資者
You are required to complete the "Professional Investor Assessment under Securities and Futures (Professional Investor) rules (Cap571D of the laws of Hong Kong)", relevant supporting documents and acknowledge that you are agreed to be treated as Professional Investor.
您必須完成“根據《證券及期貨（專業投資者）規則》（香港法例第 571D 章）定義之專業投資者評估”、相關證明文件及確認您同意被視為專業投資者。

APPLICANT(S) SIGNATURE 申請人簽署	
Account Applicant (1) Signature: 戶口申請人 (1) 簽署:	Account Applicant (2) Signature: 戶口申請人 (2) 簽署:
Name: 姓名:	Name: 姓名:
Date: 日期:	Date: 日期:
Account Applicant (3) Signature: 戶口申請人 (3) 簽署:	Account Applicant (4) Signature: 戶口申請人 (4) 簽署:
Name: 姓名:	Name: 姓名:
Date: 日期:	Date: 日期:

Should there be any discrepancy between the English and Chinese version, the English version shall prevail.

此中文譯本只供參考之用，如與英文有任何歧異，概以英文為準。

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PI Form 1